



PATENT

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Marvin B. Eickenroht

Name of Applicant, Assignee, or
Registered Representative

Signature

May 27, 1998

Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Dr. Don Frantz

SERIAL NO.: 08/766,651

FILED: December 16, 1996

TITLE: DISPOSABLE MANDIBULAR
ADVANCEMENT
APPLIANCE

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DOCKET NO.:
FRAD,003

GROUP ART NO.:
3301

EXAMINER:
Michael A. Brown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

Sir:

Attached are two Form PTO-1449's, sheet 1 of which lists prior art cited by the International Searching Authority in connection with the PCT Application Serial

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No. PCT/US/97/22708, corresponding to this Application and sheet 2 of which lists prior patents cited in an Office Action dated April 14, 1997 in U.S. Patent Application Serial No. 08/958,550, which, like the present Application, is a Continuation-in-Part of Serial No. 08/493,926. Also attached are copies of the cited documents together with a copy of the PCT Search Report dated April 20, 1998.

Each document was cited in the PCT Report to show "the general state of the art which is not considered to be of particular relevance". Of the five cited, U.S. Patent Nos. 5,313,960 and 5,499,633 are already in the record for this Application.

Applicant and his attorney do not believe the newly cited patents are more pertinent than other prior art of record. Nevertheless, this Information Disclosure Statement is being filed under 37 C.F.R. 1.98(i) in keeping with Applicant's duty of candor to the public in general.

Also enclosed is/are:

- ☐ Certification for information submitted during the time period specified in 37 C.F.R. §1.97(c) and/or (d) in accordance with 37 C.F.R. §1.97(e)
- ☐ Check in the amount of the fee set forth in 37 C.F.R. §1.17(p) for information submitted during the time period specified in 37 C.F.R. §1.97(c)
- ☐ Petition requesting consideration of information submitted during the time period specified in 37 C.F.R. §1.97(d) in accordance with 37 C.F.R. §1.97(d)(2)(ii)
- ☐ Check in the amount of the fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)
- ☒ Stamped, self-addressed postcard acknowledging receipt of this paper and the other paper(s) marked with an "x" in the above list.

PATENT

Please return the enclosed postcard, properly date stamped, to the undersigned attorney for Applicant(s) upon receipt of the enclosure(s). In the event a check is inadvertently not enclosed or is insufficient in amount, the Commissioner is authorized to charge Deposit Account No. 22-0020 (FRAD,003) in the amount of any deficiency.

Respectfully submitted,



Marvin B. Eickenroht

Reg. No. 17,279

ATTORNEY FOR APPLICANT(S)

Date: May 27, 1998

VADEN, EICKENROHT & THOMPSON, L.L.P.
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Houston, Texas 77056-1982
(713) 961-3525

FORM PTO-1449
Rev. 7-80U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:
FRAD,003SERIAL NO.:
08/766,651

APPLICANT: Dr. Don Frantz

FILING DATE: 12/16/96

GROUP: 3301

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*Exam-ner Initial		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	5	3	1	3	9	6	0	05/24/94	Tomasi	128	848	11/04/92
	AB	5	4	9	9	6	3	3	03/03/96	Fenton	128	848	03/28/94
	AA	5	5	6	2	1	0	6	10/08/96	Hecke, et al	128	848	04/28/95
	AB	5	5	7	0	7	0	4	11/05/96	Buzzard, et al	128	848	12/20/94
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANS- LATION	
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	AR	9	4	2	8	8	3	2	12/22/94	WO	5	56		X
	AS													

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 2 of 2

FORM PTO-1449
Rev. 7-80U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:
FRAD,003SERIAL NO.:
08/766,651**INFORMATION DISCLOSURE CITATION**

APPLICANT: Dr. Don Frantz

FILING DATE: 12/16/96

GROUP: 3301

(1) (Several sheets if necessary)

U.S. PATENT DOCUMENTS*Exam-i
Initial

	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
AA	3	2	2	4	4	4	2	12/21/65	Stubbs	128	136	05/08/64
AB	3	5	3	6	0	6	9	10/27/70	Gores, et al	128	136	09/09/69
AC	4	3	0	5	7	0	9	12/15/81	Bruhn, et al	433	136	04/09/80
AB	4	4	1	3	9	7	8	11/08/83	Kurz	433	6	05/17/82
AC	4	5	0	5	6	7	2	03/19/85	Kurz	433	6	11/14/83
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FOREIGN PATENT DOCUMENTS

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	AR													

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